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-	rd a NS	SMITTAL LET	Attorney Docket Number						
•	LIVALIN	ST.	38797-8003.US00						
. <b>D</b> ]	ESIGN	ATED/ELECT	U.S. Application No. (if known, see 37 CFR §1.5)						
CC	NCER	NING A FILI	<b>10</b> /56353 <b>3</b>						
International Application No.			International Filing Date	Priority Date Claimed					
PCT/US04/20363			06/25/2004	06/27/2003					
Title of Invention FORMULATIONS CONTAINING ASTRAGALUS EXTRACTS AND USES THEREOF									
Applicant(s) for DO/EO/US  Calvin B. Harley, Allison C. Chin, Nancy Yuk-yu Ip, Yung-hou Wong, and David M. Miller-Martini									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information									
1.	$\boxtimes$	This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.							
2.		This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. §371.							
3.		This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).							
4.	$\boxtimes$	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.							
5.	a. b. c.	A copy of the International Application as filed (35 U.S.C. §371(c)(2)).  is transmitted herewith (required only if not transmitted by the International Bureau).  has been transmitted by the International Bureau.  is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.		A translation of the International Application into English (35 U.S.C. §371(c)(2)).							
7.	a. b. c. d.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).  are transmitted herewith (required only if not transmitted by the International Bureau).  have been transmitted by the International Bureau.  have not been made; however, the time limit for making such amendments has NOT expired.  have not been made and will not be made.							
8.		A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)) (unsigned)							
10.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5).							
Items 1	1. to 16. b	elow concern docum	ent(s) or information included:						
11.		An Information Disclosure Statement under 37 CFR §1.97 and §1.98.							
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR §3.28 and §3.31 is included.							
13.	$\boxtimes$	A FIRST preliminary amendment.							
		A SECOND or SUBSTITUTE preliminary amendment.							
14.		A substitute specification.							
15.		A change of Power of Attorney and/or Address letter.							
16.		Other items or information:							

## IAP6 Rec'd PCT/PTO 23 DEC 2005

U.S. Application No. (if known, see 37 CER \$1.5).  10./563533 International Application No. PCT/US04/20363				Attorney's Docket No. 38797-8003.US00					
17. 🛭 <b>T</b>	he following fees are sub	CALCULATIONS PTO USE							
BASIC NATIO	ONAL FEE (37 CFR §1	ONLY							
Basic I	National Stage Fee								
Nation	al Stage Search Fee								
	al Stage Examination Fee								
		ENTER APPRO	OPRIATE BASIC FEE AMOUNT =	\$1000.00					
Surcharge of \$13	30.00 for furnishing the oath								
	earliest claim priority date	\$ 00.00							
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE						
Total Claims	11 - 20 =	0	× \$50.00	\$ 00.00					
Independent Claims	1 - 3 =	0	× <b>\$200.00</b>	\$ 00.00					
Multiple Depend	dent Claim(s) (if applicable)	\$ 00.00							
		\$ 1000.00							
Applicant cl reduced by	laims small entity status. Se ½.	\$ 00.00							
		\$ 1000.00							
	f \$130.00 for furnishing the om the earliest claimed prio	\$							
	g enclosed assignment (37 C an appropriate cover sheet (	\$ 00.00							
		\$ 1000.00							
		refunded	\$						
			Amount to Be:	charged	\$				
a. 🗵									
<ul> <li>b.</li></ul>									
NOTE: Where an appropriate time limit under 37 CFR §1.494 or §1.495 has not been met, a petition to revive (37 CFR §1.137(a) (b)) must be filed and granted to restore the application to pending status.									
II '''	st be filed and granted to resto LESPONDENCE TO:	prilices							
		Signature							
H	ns Coie LLP	Gorthey							
	Box 2168	ation No. 37,33	/						
	o Park, CA 94026 omer No. 22918								

Attorney Docket No. 38797-8003.US00

## IAP6 Rec'd PCT/PTO 23 DEC 2005

## IN THE UNITED STATES OF AMERICA AS AN ELECTED OFFICE (EO/US)

IN RE APPLICATION OF: HARLEY et al.

APPLICATION No.: Not Yet Assigned

PCT FILING DATE: June 25, 2004

PRIORITY DATE: June 27, 2003

TITLE: Formulations Containing Astragalus Extracts and Uses Thereof

## CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Attn: DO/EO/US

Sir:

Express Mail Label No: EV 326 989 506 US

Date of Deposit: <u>Necember</u> 23, 2005

I hereby certify that the attached filing: application transmittal under 35 U.S.C. §371, a Preliminary Amendment, a Declaration of the Inventors under 35 U.S.C. §371 (unsigned), and a check for fees due, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to the Assistant Commissioner for Patents, Mail Stop PCT, P. O. Box 1450, Alexandria, VA 22313-1450, Attention: DO/EO/US.

Respectfully submitted, Perkins Coie LLP

Date: <u>/2-23-05</u>

nnea B. Kennelly

Correspondence Address: Customer No. 22918 650 838-4300